

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Confirmation No. 5125**  
Koki IKEDA et al. : Docket No. 2004\_1539A  
Serial No. 10/509,222 : **Mail Stop: MISSING PARTS**  
Filed September 24, 2004 :

POLYCARBONATE COPOLYMER, AND  
HEAT RESISTANT PARTS COMPRISING  
THE SAME

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**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In reply to the Notification of Missing Requirements dated January 11, 2005, attention is directed to the fact that the executed Declaration has already been filed as can be seen from the response of November 9, 2004, copy attached with stamped postcard receipt.

Also enclosed is a copy of the Notification of Missing Requirements.

Favorable action is now requested.

Respectfully submitted,

Koki IKEDA et al.

**THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975**

By: 

Matthew M. Jacob  
Registration No. 25,154  
Attorney for Applicants

MJ/kes  
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January 14, 2005

FORM PTO 1390  
(REV 5-93)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. §371

ATTORNEY DOCKET NUMBER  
2004\_1539A

U.S. APPLICATION NO.  
(if known, see 37 CFR 1.5)  
10/509,222

International Application No.  
PCT/JP03/03525

International Filing Date  
March 24, 2003

Priority Date Claimed  
March 28, 2002

**Title of Invention**

POLYCARBONATE COPOLYMER, AND HEAT RESISTANT PARTS COMPRISING THE SAME

**Applicant(s) For DO/EO/US**

Koki IKEDA, Toshimasa TOKUDA, Yoshimasa OKAMOTO, and Masato ANDO

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. §371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. §371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19.
9. ☒ An executed oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)). **ATTACHMENT F**
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

**ATTACHMENT G**

13. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ Other items or information:

COPY

U.S. APPLICATION NO. (If known, use 37 CFR 1.5)  
10/509,222

INTERNATIONAL APPLICATION NO.  
PCT/JP03/03525

ATTORNEY'S DOCKET NO.  
2004\_1539A

15. ☐ The following fees are submitted

**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

Neither international preliminary examination fee nor international search fee paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... \$1110.00  
International Search Report has been prepared by the EPO or JPO ..... \$ 950.00  
International preliminary examination fee not paid to USPTO but international search  
paid to USPTO ..... \$ 790.00  
International preliminary examination fee paid to USPTO but claims did not satisfy provisions  
of PCT Article 33(1)-(4) ..... \$ 690.00  
International preliminary examination fee paid to USPTO and all claims satisfied provisions of  
PCT Article 33(1)-(4) ..... \$ 100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest  
claimed priority date (37 CFR 1.492(e)).

Claims	Number Filed	Number Extra	Rate
Total Claims	-20 =		X \$18.00
Independent Claims	- 3 =		X \$88.00
Multiple dependent claim(s) (if applicable)			+ \$300.00

**TOTAL OF ABOVE CALCULATIONS =**

☐ Small Entity Status is hereby asserted. Above fees are reduced by 1/2.

**SUBTOTAL =**

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☒ 30 months from the earliest  
claimed priority date (37 CFR 1.492(f)).

**TOTAL NATIONAL FEE =**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an  
appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +

**TOTAL FEES ENCLOSED =**

Amount to be refunded \$  
Amount to be charged \$

a. ☒ A check in the amount of \$ 170.00 to cover the above fees is enclosed. A duplicate copy of this form is enclosed.

b. ☐ Please charge my Deposit Account No. 23-0975 in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 23-0975.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))  
must be filed and granted to restore the application to pending status.**

19. CORRESPONDENCE ADDRESS

CUSTOMER NO.

000513

By: \_\_\_\_\_

Matthew M. Jacob,  
Registration No. 25,154

WENDEROTH, LIND & PONACK, L.L.P.  
2033 "K" Street, N.W., Suite 800  
Washington, D.C. 20006-1021  
Phone: (202) 721-8200  
Fax: (202) 721-8250

November 9, 2004

[CHECK NO. \_\_\_\_\_]

[2004\_1539A]

ATTY DOCKET #: 2004\_1539A

Due Date:

Confirmation No.

OUR REF: 2004\_1539A/MJ/00951

Applicant: Koki IKEDA et al.

Serial No.: 10/509,222

Filing Date: September 24, 2004

Title: POLYCARBONATE COPOLYMER, AND HEAT RESISTANT PARTS COMPRISING  
THE SAME

Receipt of the following papers is acknowledged:

1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US)  
Concerning a Filing Under 35 USC 371 (National stage application of PCT/JP03/03525)
2. Executed Declaration and Power of Attorney
3. Recordation Form Cover Sheet (Form PTO-1595) and Assignment
4. Check in the amount of \$170.00

Date: November 9, 2004

Attorney: Mikes

[Check No. 65041]

NOV 09 2004  
O I P E  
THE COMMISSIONER IS AUTHORIZED  
TO CHARGE A DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

COPY



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/509,222	FIRST NAMED APPLICANT Koki Ikeda	ATTY. DOCKET NO. 2004 1539A
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INTERNATIONAL APPLICATION NO. PCT/JP03/03525
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I.A. FILING DATE 03/24/2003	PRIORITY DATE 03/28/2002
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000513  
WENDEROTH, LIND & PONACK, L.L.P.  
2033 K STREET N. W.  
SUITE 800  
WASHINGTON, DC 20006-1021

COPY

CONFIRMATION NO. 5125  
371 FORMALITIES LETTER



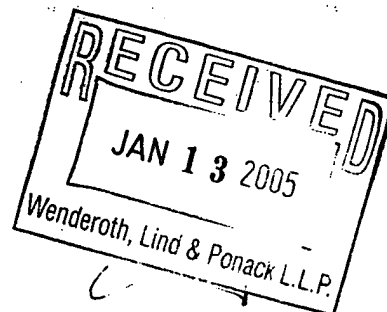
\*OC000000014921052\*

Date Mailed: 01/11/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/24/2004
- English Translation of the IA filed on 09/24/2004
- Copy of the International Search Report filed on 09/24/2004
- Copy of IPE Report filed on 09/24/2004
- Information Disclosure Statements filed on 09/24/2004
- Oath or Declaration filed on 09/24/2004
- Request for Immediate Examination filed on 09/24/2004
- U.S. Basic National Fees filed on 09/24/2004
- Priority Documents filed on 09/24/2004



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed

to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHAKEEL AHMED

Telephone: (703) 305-3659

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/509,222	PCT/JP03/03525	2004 1539A

FORM PCT/DO/EO/905 (371 Formalities Notice)